

INTERNAL AUDIT SECTION - AUDITS FINALISED 2017/18

Head of Service	Audit	Date Finalised	Opinion Rating	Recommendations		
				Made	Agreed	Not Agreed
Education Planning & Resources	Bishopston Primary School	28/04/17	High	3	3	0
Education Planning & Resources	Pengelli Primary School	10/05/17	High	7	7	0
Finance & Delivery	Accounts Payable	15/05/17	High	5	5	0
Finance & Delivery	Council Tax	07/06/17	High	0	0	0
Highways & Transportation	Highways - Business Case, Tender & Evaluation	20/04/17	High	3	3	0
Highways & Transportation	Swansea Marina	12/05/17	High	5	5	0
Financial Services & Service Centre	Pension Fund Investments	21/11/17	High	0	0	0
Cultural Services	Spot Checks	03/11/17	High	0	0	0
Legal, Democratic Services & Business Intelligence	Scrutiny	23/10/17	High	0	0	0
Housing & Public Protection	Renewal Areas	13/10/17	High	0	0	0
Child & Family Services	Western Bay Adoption Service	11/12/17	High	4	4	0
Education Planning & Resources	Craigcefnparc Primary	19/12/17	High	1	1	0
Education Planning & Resources	Glais Primary	18/12/17	High	1	1	0
Education Planning & Resources	Capital Planning and Delivery Unit	20/12/17	High	1	1	0
Financial Services & Service Centre	Teachers Pensions 17/18	27/10/17	High	2	2	0
Planning & City Regeneration	Planning - AONB	16/10/17	High	2	2	0
Child & Family Services	Safeguarding	14/08/17	High	4	4	0
Education Planning & Resources	Birchgrove Primary	08/09/17	High	2	2	0
Education Planning & Resources	Pentrehafod Comprehensive	03/07/17	High	7	7	0
Corporate Building Services	Heol-y-Gors Depot - Estimating	05/09/17	High	4	4	0
Financial Services & Service Centre	Swansea Bay Port Health Authority	06/07/17	High	9	8	1
Financial Services & Service Centre	Corporate Risk Register	12/09/17	High	0	0	0
Corporate Building Services	Tendering - CBS	23/08/17	High	0	0	0
Child & Family Services	Adoption Allowances	04/01/18	High	3	3	0
Housing & Public Protection	Housing Rents	12/01/18	High	4	4	0
Education Learner Support Service	Ethnic Minority Achievement Unit	22/01/18	High	5	5	0
Education Learner Support Service	LAC Coordinator	29/01/18	High	5	5	0

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Education Planning & Resources	Pontarddulais Comprehensive School	01/02/18	High	4	4	0
Legal & Business Intelligence	Debt Recovery Process - Legal	19/02/18	High	7	7	0
Digital & Transformation	Change Control - Oracle	26/02/18	High	2	2	0
Financial Services & Service Centre	Short term Care	05/03/18	High	4	4	0
Highways & Transportation	Clydach - Finance & Admin	06/03/18	High	3	3	0
Digital & Transformation	ICT Data Storage	13/03/18	High	3	3	0
Education Planning & Resources	Cefn Hengoed Comprehensive School	15/03/18	High	4	4	0
Education Planning & Resources	Penyrheol Comprehensive School	21/03/18	High	10	10	0
Education Planning & Resources	YGG Bryn Tawe	14/03/18	High	3	3	0
Communications & Customer Engagement	Corporate Complaints	20/06/17	Substantial	5	5	0
Education Learner Support Service	Stepahead Educaiton Centre	23/05/17	Substantial	12	12	0
Education Learner Support Service	Arfryn Education Centre	23/05/17	Substantial	12	12	0
Education Learner Support Service	KS4 Education Centre	23/05/17	Substantial	12	12	0
Education Planning & Resources	St Thomas Primary School	10/04/17	Substantial	13	13	0
Education Planning & Resources	Casllwchwr Primary School	30/05/17	Substantial	16	16	0
Education Planning & Resources	Wauarlwyydd Primary School	30/05/17	Substantial	15	15	0
Education Planning & Resources	St Joseph's Cathedral Primary School	14/06/17	Substantial	11	11	0
Education Planning & Resources	YGG Gellionnen	19/06/17	Substantial	16	16	0
Housing & Public Protection	Townhill DHO	11/05/17	Substantial	13	13	0
Housing & Public Protection	Sketty DHO	26/06/17	Substantial	13	13	0
Informaiton & Business Change	Procurement of IT	08/05/17	Substantial	15	15	0
Planning & City Regeneration	Swansea Mobility Hire	23/05/17	Substantial	17	16	1
Highways & Transportation	Advance Payments Code	27/06/17	Substantial	2	2	0
Child & Family Services	Nant-y-Felin Children's Home	21/07/17	Substantial	24	24	0
Child & Family Services	Business Support Team - Child & Family	14/07/17	Substantial	10	10	0
Adult Services	Supporting People Team	08/09/17	Substantial	10	10	0
Financial Services & Service Centre	Debt Recovery (Social Services)	09/08/17	Substantial	10	10	0
Education Planning & Resources	Bishopston Comprehensive	21/09/17	Substantial	18	18	0

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Highways & Transportation	Swansea Bus Station	19/07/17	Substantial	3	3	0
Financial Services & Service Centre	Accounts Receivable	06/07/17	Substantial	14	14	0
Human Resources & Organisational Development	Officers Expenses	18/08/17	Substantial	12	12	0
Cultural Services	Art Gallery	04/09/17	Substantial	28	28	0
Poverty & Prevention	Play Team	12/09/17	Substantial	7	7	0
Cultural Services	Archives	29/09/17	Substantial	15	15	0
Housing & Public Protection	Voids Team - HPU	09/08/17	Substantial	7	7	0
Cultural Services	Brangwyn Hall	18/10/17	Substantial	26	26	0
Cultural Services	Morrison Leisure Centre	08/11/17	Substantial	19	19	0
Waste Management & Parks	Parks Buildings	29/11/17	Substantial	3	3	0
Corporate Building Service	Corporate Energy Unit	14/11/17	Substantial	11	11	0
Adult Services	CREST	10/10/17	Substantial	9	9	0
Education Planning & Resources	Brynmill Primary	24/10/17	Substantial	12	12	0
Education Planning & Resources	Plasmarl Primary	20/11/17	Substantial	6	6	0
Education Planning & Resources	Parkland Primary	23/11/17	Substantial	8	8	0
Education Planning & Resources	Clase Primary	27/10/17	Substantial	8	8	0
Education Planning & Resources	Gendros Primary	17/10/17	Substantial	6	6	0
Education Planning & Resources	Gorseinon Primary	07/12/17	Substantial	10	10	0
Education Planning & Resources	Llangyfelach Primary	17/10/17	Substantial	13	13	0
Education Planning & Resources	Penllergaer Primary	11/12/17	Substantial	5	5	0
Education Planning & Resources	Craigfelen Primary	04/12/17	Substantial	13	13	0
Education Planning & Resources	Ynystawe Primary	19/12/17	Substantial	12	12	0
Education Planning & Resources	YGG Tan-y-Lan	14/12/17	Substantial	20	20	0
Highways & Transportation	Home to School Transport	18/12/17	Substantial	14	14	0
Education Learner Support Service	EOTAS Pathways	28/11/17	Substantial	7	7	0
Education Improvement	Challenge Advisors	04/01/18	Substantial	6	6	0
Financial Services & Service Centre	Direct Payments - Adult and Child & Family	17/01/18	Substantial	3	3	0
Education Planning & Resources	St Illtyd's RC Primary School	24/01/18	Substantial	9	9	0

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Education Planning & Resources	Ysgol Crug Glas	24/01/18	Substantial	5	5	0
Education Learner Support Service	Music Service	25/01/18	Substantial	11	11	0
Education Planning & Resources	Llanrhidian Primary School	29/01/18	Substantial	7	7	0
Education Planning & Resources	Pentre'r Graig Primary School	29/01/18	Substantial	12	12	0
Education Planning & Resources	Oystermouth Primary School	30/01/18	Substantial	10	10	0
Education Planning & Resources	Whitestone Primary School	09/02/18	Substantial	10	10	0
Education Planning & Resources	Tre Uchaf Primary School	09/02/18	Substantial	15	15	0
Education Learner Support Service	Home Tuition Service	09/02/18	Substantial	7	7	0
Waste Management & Parks	Waste Enforcement	09/02/18	Substantial	12	12	0
Adult Services	Staff Development & Training Section	15/02/18	Substantial	11	11	0
Education Planning & Resources	YGG Lon Las	16/02/18	Substantial	10	10	0
Education Planning & Resources	Clydach Primary School	19/02/18	Substantial	10	10	0
Financial Services & Service Centre	Cashiers Office	01/03/18	Substantial	9	9	0
Education Planning & Resources	Blaenymaes Primary School	06/03/18	Substantial	20	20	0
Cultural Services	Branch Libraries	09/03/18	Substantial	15	15	0
Education Planning & Resources	Ysgol Gymraeg y Cwm	12/03/18	Substantial	14	13	1
Education Planning & Resources	Pontybrenin Primary School	13/03/18	Substantial	14	14	0
Financial Services & Service Centre	Accounts Receivable	13/03/18	Substantial	13	13	0
Adult Services	Home Care	14/03/18	Substantial	14	14	0
Education Planning & Resources	YGG Tirdeunaw	20/03/18	Substantial	13	13	0
Education Planning & Resources	Cwmrhydyceirw Primary School	23/03/18	Substantial	10	10	0
Adult Services	Client & Property Finance	26/03/18	Substantial	7	7	0
Planning & City Regeneration	Development & Physical Regeneration	29/03/18	Substantial	7	7	0
Education Learner Support Services	Catering HQ	15/08/17	Moderate	29	29	0
Cultural Services	Penyrheol Leisure Centre & Complex	22/08/17	Moderate	36	36	0
Highways & Transportation	Concessionary Bus Fares	30/11/17	Moderate	2	2	0
Cultural Services	Penlan Leisure Centre	10/11/17	Moderate	26	26	0
Waste Management & Parks	Specialist Park Services	29/11/17	Moderate	8	8	0
Child & Family Services	Independent Agency Placements	05/10/17	Moderate	12	12	0

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Highways & Transportation	Fleet Maintenance	24/10/17	Moderate	9	9	0
Highways & Transportation	Taxi Framework Contracts	19/10/17	Moderate	5	5	0
Adult Services	Social Services - Social Care Contracts	05/03/18	Moderate	1	1	0
Total				1051	1048	3